

UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: 169.12-0614

Date: January 15, 2004

First Named Inventor: Kurt J. Korkowski

Title: ENDCAP FOR REDUCING AIRFLOW EXCITATION OF **HEAD GIMBAL ASSEMBLY**

Express Mail No.: EV 302262210 US



APPLICATION ELEMENTS

1, [X] Fee Calculation Sheet (Submit an original and a duplicate for fee processing)

2. [] Applicant claims small entity status

3. [X] Specification

Total Pages [25]

- Descriptive title of the invention
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- **Detailed Description**
- Claims
- Abstract of the Disclosure

4. [X] Drawings (35 U.S.C. 113)

Total Sheets [8] Total Pages [3]

- 5. [X] Oath or Declaration
 - a. [X] Newly Executed (original or copy) b. [] Copy from a prior application (37 C.F.R.
 - 1.63(d) for continuation/divisional with Box 18 completed)

[Mark Box 5 below]

I. [] DELETION OF INVENTOR(S) Signed statement attached deleting

inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)

6. [X] Application Data Sheet. See 37 CFR 1.76.

ADDRESS TO: P.O. Box 1450

Alexandria, VA 22313-1450

Commissioner for Patents

- 7. [] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission 8. (If applicable, all necessary)
 - a. [] Computer Readable Copy (CRF)
 - b. Specification Sequence Listing on:
 - i. [] CD-ROM or CD-R (2 copies; or
 - ii. П Paper
 - c.

 Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

- [X] Assignment Papers (cover sheet & document(s))
- 10. [X] 37 C.F.R. 3.73(b) Submission
 - [X] Power of Attorney
- [] English Translation Document (if applicable) 11.
- [X] Information Disclosure Statement 12.
- Preliminary Amendment Total Pages [] 13. 14. [X] Return Receipt Postcard (Should be specifically
- itemized)
- [] Certified Copy of Priority document(s) (If foreign priority is claimed)
- Nonpublication Request under 35 U.S.C. 16 122(b)(2)(B)(i). Application must attach form PTO/SB/35 or its equivalent
- [X] Other Associate Power of Attorney or Agent (37 C.F.R. 1.34)

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation

Division

Continuation-in-part (CIP) of prior Application No.

Prior Application Information: Examiner Group Art Unit For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

ATTY NAME David R. Fairbairn, **ADDRESS** AND REG. NO. SIGNATURE: Reg. No. 26,047 (612) 339-1863 **TELEPHONE** FAX: (612) 339-6580

	Compl te if Known	
FEE TRANSMITTAL	Application No.	
9	Filing Date	Herewith
S C S	First Named Inventor	Kurt J. Korkowski et al.
3	Group Art Unit	
^ o	Examiner Name	
	Atty. Docket Number	169.12-0614
Total Amount of Payment \$810.00		
METHOD OF PAYMENT (Check One) 1. [X] The Commissioner is hereby authorized to charge any		ULATION (Continued)
additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account Name: Kinney & Lange, P.A. A duplicate copy of this communication is enclosed	Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$)	Fee Description Fee paid Surcharge - Late filing fee or oath
2. [X] Check Enclosed	-	Surcharge - late provisional filing fee
FEE CALCULATION	┨	or cover sheet Non-English specification
	┨	
1. BASIC FILING FEE		or Filing a Request for Reexamination
Large-Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description		Extension for reply within first month
Code (\$) Code (\$) Fee Description 1001 770 2001 385 [X] Utility Filing Fee		Extension for reply within second month *
1002 340 2002 170 [] Design Filing Fee	1253 950 2253 475	Extension for reply within third month
1004 770 2004 385 [] Reissue Filing Fee	1254 1,480 2254 740	Extension for reply within fourth month
1005 160 2005 80 [] Prov. Filing Fee	1255 2,010 2255 1,005	Extension for reply within fifth month
Subtotal (1) \$770.00	1402 330 2402 165	Filing a brief in support of an appeal
2. EXTRA CLAIM FEES	1403 290 2403 145	Request for oral hearing *
Number Prior** Extra Fee from Fee Paid Claims Below	1814 110 2814 55	Terminal Disclaimer Fee*
00 00 0	1452 110 2452 55	Petition to revive - unavoidable*
	1453 1,330 2453 665	Petition to revive - unintentional *
	1501 1,330 2501 665	Utility/Reissue issue fee*
Multiple Dependent Claims = = **Insert 3 and 20, or number previously paid if greater; Reissue see	1502 480 2502 240	Design issue fee*
below	1460 130 1460 130	Petitions to the Commissioner*
Large Entity Small Entity Fee Fee Fee Description	1807 50 1807 50	Petitions related to provisional applications*
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20		Submission of Information Disclosure
1201 • 86 2201 43 Independent claims in excess of 3	8021 40 8021 40	Recording each patent assignment per 40
1203 290 2203 145 Multiple Dependent Claim	1	property (times number of properties)
1204 86 2204 43 Reissue Independent Claims Over Original Patent		Request for Continued Examination (RCE)
1205 18 2205 9 Reissue claims in excess of 20 and over original patent	Other fee (specify)	
Subtotal (2) S	5	Subtotal (3) \$40.00

Reg. No. <u>26,047</u>

Deposit Account No. 11-0982